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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 106]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
6. Application Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

 Continuation Divisional Continuation-in-part (CIP)
of prior application No. 09,551,618

Prior application information

Examiner J. TruongGroup / Art Unit 1624

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<u>20529</u> (Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> Correspondence address below
Name	Nath + Associates PLLC		
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Name (Print/Type)	Joshua B. Goldberg		Registration No. (Attorney/Agent)	44,126
Signature			Date	April 17, 2001

Burden Hour Statement This form is estimated to take 02 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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PATENT

Attorney Docket No. 23754-X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yong-Qian WU et al.

Filed: April 17, 2001

Serial No.: n/a

For: **AZA COMPOUNDS, PHARMACEUTICAL COMPOSITIONS AND METHODS OF USE**

jc997 U.S. PRO
09/09/01
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TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office are the following:

- 1) Transmittal Letter;
- 2) Utility Patent Application Transmittal;
- 3) Application Data Sheet;
- 4) Continuation-In-Part Application including:
155 Page Application Consisting of:
106 Pages of Textual Specification;
48 Pages of 43 Claims;
1 page Abstract of the Disclosure;
- 5) Unexecuted Declaration; and
- 6) Early Notification of Serial Number Card.

The Commissioner is hereby specifically authorized to charge any fee deficiency or credit any over payment in connection with this matter to our Deposit Account No. 14-0112.

Respectfully submitted,
NATH & ASSOCIATES PLLC



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Date: April 17, 2001

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